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PTO/SB/21 (08-00)  
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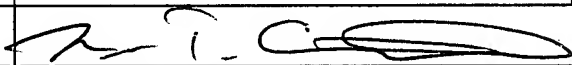
Application Number	10/058,821
Filing Date	January 29, 2002
First Named Inventor	Orest W. Blaschuk
Group Art Unit	Not Yet Assigned
Examiner Name	Not Yet Assigned
Attorney Docket No.	100086.401C12

### ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement; Form PTO-1449 <input checked="" type="checkbox"/> Cited References (4) <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts under 37 C.F.R. 1.52 or 1.53 <input type="checkbox"/> Response to Missing Parts/Incomplete Application	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Request for Corrected Filing Receipt <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation, Change of Correspondence Address <input type="checkbox"/> Declaration <input type="checkbox"/> Statement under 37 CFR 3.73(b) <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Small Entity Statement <input type="checkbox"/> Request for Refund	<input type="checkbox"/> CD(s), Number of CD(s) _____ <input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Return Postcard <input type="checkbox"/> Additional Enclosure(s) (please identify below): _____ _____ _____ _____
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Remarks

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Individual Name	William T. Christiansen, Ph.D.	 00500 PATENT TRADEMARK OFFICE
Signature		
Date	5/6/02	

### CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on the date specified below.

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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Orest W. Blaschuk et al.  
Application No. : 10/058,821  
Filed : January 29, 2002  
For : COMPOUNDS AND METHODS FOR CANCER  
THERAPY

Docket No. : 100086.401C12  
Date : May 7, 2002

Commissioner for Patents  
Washington, DC 20231

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents:

In accordance with 37 C.F.R. §§ 1.56 and 1.97 through 1.98, applicants wish to make known to the Patent and Trademark Office the references set forth on the attached Forms PTO-1449. This application is a continuation and relies, under 35 U.S.C. § 120, on the earlier filing date of prior Application No. 09/357,717, filed July 20, 1999; which is a continuation-in-part of Application No. 09/248,074, filed February 10, 1999; which is a continuation-in-part of Application No. 08/996,679, filed December 23, 1997; which is a continuation-in-part of Application No. 08/893,534, filed July 11, 1997; which claims priority from U.S. Provisional Application No. 60/021,612, filed July 12, 1996.

References AP (on Page 1 of the attached Forms PTO-1449), BH (on Page 2 of the attached Forms PTO-1449), FC, and FG (on Page 6 of the attached Forms PTO-1449) were not submitted in these prior applications. Accordingly, copies of these references have been provided.

The references listed on the attached Forms PTO-1449 were submitted to and/or cited by the Patent and Trademark Office in this prior application and, therefore, are not required to be provided in this application. If the Examiner wishes, copies will be provided upon request.

As to any reference made of record on the attached Forms PTO-1449, applicants do not admit that it is "prior art" under 35 U.S.C. §§ 102 or 103, and specifically reserve the right to traverse or antedate any such reference, as by a showing under 37 C.F.R. § 1.131 or other method. Although the aforesaid references are made known to the Patent and Trademark Office in compliance with applicants' duty to disclose all information they are aware of which is believed relevant to the examination of the above-identified application, applicants believe that their invention is patentable.

Please acknowledge receipt of this Information Disclosure Statement and kindly make the cited references of record in the above-identified application.

Respectfully submitted,

Seed Intellectual Property Law Group PLLC



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William T. Christiansen, Ph.D.

Registration No. 44,614

WTC:nka

Enclosures:

Postcard  
Form PTO/SB/21  
Form PTO-1449  
Cited References (4)

701 Fifth Avenue, Suite 6300  
Seattle, Washington 98104-7092  
Phone: (206) 622-4900  
Fax: (206) 682-6031

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MAY 2 1 2002

ATTY. DOCKET NO.

100886.401C12

Sheet 1 of 6

APPLICATION NO.

10/058,821

## INFORMATION DISCLOSURE STATEMENT

(Use separate sheets if necessary)

APPLICANTS

Orest W. Blaschuk et al.

FILING DATE

January 29, 2002

GROUP ART UNIT

Not Yet Assigned

## U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	5,231,082	07/27/93	Schasteen	514	11	
	AB	5,352,667	10/04/94	Lider et al.	514	19	
	AC	5,510,628	04/23/96	Georger, Jr. et al.	257	32	
	AD	5,585,351	12/17/96	Ranscht	514	12	
	AE	5,591,432	01/07/97	Bronson et al.	424	130.1	
	AF	5,646,250	07/08/97	Suzuki	530	350	
	AG	5,665,590	09/09/97	Yang	435	6	

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		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION	
					YES	NO
	AH	EP 406 428 B1	01/09/91	EPO		
	AI	WO 91/04745	04/18/91	PCT		
	AJ	WO 92/08731	05/29/92	PCT		
	AK	WO 94/11401	05/26/94	PCT		

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	AL	Alexander et al., "An N-Cadherin-Like Protein Contributes to Solute Barrier Maintenance in Cultured Endothelium," <i>Journal of Cellular Physiology</i> 156: 610-618, 1993.
	AM	Ali et al., "Conformationally Constrained Peptides and Semipeptides Derived from RGD as Potent Inhibitors of the Platelet Fibrinogen Receptor and Platelet Aggregation," <i>J. Med. Chem.</i> 37(6): 769-780, 1994.
	AN	Beesley et al., "The post-synaptic density: putative involvement in synapse stabilization via cadherins and covalent modification by ubiquitination," <i>Biochemical Society Transactions</i> 23: 59-64, 1995.
	AO	Blakemore, "Remyelination of CNS axons by Schwann cells transplanted from the sciatic nerve," <i>Nature</i> 266: 68-69, 1977.
	AP	Blaschuk et al., "A novel cadherin antagonist (Exherin) blocks human ovarian tumor growth in nude mice," <i>Molecular Biology of the Cell</i> 10: 72A, November 1999.

EXAMINER

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\* EXAMINER: Initial if reference considered, whether or not criteria is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant(s).

FORM PTO-1449  
(REV. 7-80)

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## U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	BA	6,031,072					
	BB	6,169,071					
	BC	6,207,639					

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION	
					YES	NO
	BD	WO 96/40781	12/19/96	PCT		
	BE	WO 97/07209	02/27/97	PCT		
	BF	WO 98/02452	01/22/98	PCT		
	BG	WO 98/45319	10/15/98	PCT		
	BH	WO 99/33875	7/8/99	WIPO		

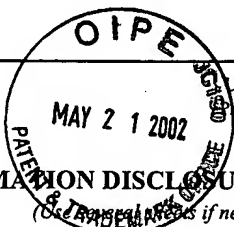
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	BI	Blaschuk and Farookhi, "Estradiol Stimulates Cadherin Expression in Rat Granulosa Cells," <i>Developmental Biology</i> 136: 564-567, 1989.
	BJ	Blaschuk et al., "E-Cadherin, estrogens and cancer: is there a connection?" <i>The Canadian Journal of Oncology</i> 4(4): 291-301, 1994.
	BK	Blaschuk et al., "Identification of a Cadherin Cell Adhesion Recognition Sequence," <i>Developmental Biology</i> 139: 227-229, 1990.
	BL	Blaschuk et al., "Identification of a Conserved Region Common to Cadherins and Influenza Strain A Hemagglutinins," <i>J. Mol. Biol.</i> 211: 679-682, 1990.
	BM	Bottenstein and Sato, "Growth of a rat neuroblastoma cell line in serum-free supplemented medium," <i>Proc. Natl. Acad. Sci. USA</i> 76(1): 514-517, 1979.
	BN	Brecknell et al., "Bridge grafts of Fibroblast Growth Factor-4-Secreting Schwannoma Cells Promote Functional Axonal Regeneration in the Nigrostriatal Pathway of the Adult Rat," <i>Neuroscience</i> 74(3): 775-784, 1996.
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	BP	Brook et al., "Morphology and Migration of Cultured Schwann Cells Transplanted Into the Fimbria and Hippocampus in Adult Rats," <i>GLIA</i> 9: 292-304, 1993.

EXAMINER

DATE CONSIDERED

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FORM PTO-1449  
(REV. 7-80)U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.  
100086.401C12APPLICATION NO.  
10/058,821

## INFORMATION DISCLOSURE STATEMENT

(Use separate sheets if necessary)

APPLICANTS  
Orest W. Blaschuk et al.FILING DATE  
January 29, 2002GROUP ART UNIT  
Not Yet Assigned

## U.S. PATENT DOCUMENTS

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## FOREIGN PATENT DOCUMENTS

DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES NO
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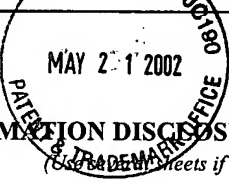
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CA	Byers et al., "Fibroblast Growth Factor Receptors Contain a Conserved HAV Region Common to Cadherins and Influenza Strain A Hemagglutinins: A Role in Protein-Protein Interactions?," <i>Developmental Biology</i> 152: 411-414, 1992.
CB	Cardarelli et al., "The Collagen Receptor $\alpha 2\beta 1$ , from MG-63 and HT1080 Cells, Interacts with a Cyclic RGD Peptide," <i>The Journal of Biological Chemistry</i> 267(32): 23159-23164, 1992.
CC	Carlstedt et al., "Nerve Fibre Regeneration Across the PNS-CNS Interface at the Root-Spinal Cord Junction," <i>Brain Research Bulletin</i> 22: 93-102, 1989.
CD	Cepek et al., "Expression of a candidate cadherin in T lymphocytes," <i>Proc. Natl. Acad. Sci. USA</i> 93: 6567-6571, 1996.
CE	Chuah et al., "Differentiation and survival of rat olfactory epithelial neurons in dissociated cell culture," <i>Developmental Brain Research</i> 60: 123-132, 1991.
CF	Craig et al., "Concept and Progress in the Development of RGD-Containing Peptide Pharmaceuticals," <i>Biopolymers (Peptide Science)</i> 37: 157-175, 1995.
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CH	Doherty and Walsh, "Signal transduction events underlying neurite outgrowth stimulated by cell adhesion molecules," <i>Current Opinion in Neurobiology</i> 4: 49-55, 1994.
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CJ	Duncan et al., "Transplantation of oligodendrocytes and Schwann cells into the spinal cord of the myelin-deficient rat," <i>Journal of Neurocytology</i> 17: 351-360, 1988.
CK	Fok-Seang et al., "An analysis of astrocytic cell lines with different abilities to promote axon growth," <i>Brain Research</i> 689: 207-223, 1995.
CL	Fok-Seang et al., "Migration of Oligodendrocyte Precursors on Astrocytes and Meningeal Cells," <i>Developmental Biology</i> 171: 1-15, 1995.

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FORM PTO-1449 (REV.7-80)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY. DOCKET NO. 100086.401C12		APPLICATION NO. 10/058,821	
 <b>INFORMATION DISCLOSURE STATEMENT</b> (See 37 CFR 1.98 for instructions)				APPLICANTS Orest W. Blaschuk et al.			
				FILING DATE January 29, 2002		GROUP ART UNIT Not Yet Assigned	
<b>U.S. PATENT DOCUMENTS</b>							
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
<b>FOREIGN PATENT DOCUMENTS</b>							
		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES NO		
<b>OTHER PRIOR ART</b> (Including Author, Title, Date, Pertinent Pages, Etc.)							
	DA	Franz, "Percutaneous Absorption. On The Relevance Of In Vitro Data," <i>The Journal of Investigative Dermatology</i> 64(3): 190-195, 1975.					
	DB	Franz, "The Finite Dose Technique as a Valid <i>in Vitro</i> Model for the Study of Percutaneous Absorption in Man," <i>Curr. Probl. Dermatol.</i> 7: 58-68, 1978.					
	DC	Ghimrikar and Eng, "Astrocyte-Schwann Cell Interactions in Culture," <i>GLIA</i> 11: 367-377, 1994.					
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	DE	Iruela-Arispe et al., "Expression of SPARC during Development of the Chicken Chorioallantoic Membrane: Evidence for Regulated Proteolysis In Vivo," <i>Molecular Biology of the Cell</i> 6: 327-343, 1995.					
	DF	Laird et al., "Gap Junction Turnover, Intracellular Trafficking, and Phosphorylation of Connexin43 in Brefeldin A-treated Rat Mammary Tumor Cells," <i>The Journal of Cell Biology</i> 131(5): 1193-1203, 1995.					
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	DI	Liuzzi and Lasek, "Astrocytes Block Axonal Regeneration in Mammals by Activating the Physiological Stop Pathway," <i>Science</i> 237: 642-645, 1987.					
	DJ	Lutz et al., "Secondary Structure of the HAV Peptide Which Regulates Cadherin-Cadherin Interaction," <i>Journal of Biomolecular Structure &amp; Dynamics</i> 13(3): 447-455, 1995.					
	DK	Matsuzaki et al., "cDNAs of Cell Adhesion Molecules of Different Specificity Induce Changes in Cell Shape and Border Formation in Cultured S180 Cells," <i>The Journal of Cell Biology</i> 110: 1239-1252, 1990.					
EXAMINER				DATE CONSIDERED			
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## INFORMATION DISCLOSURE STATEMENT

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APPLICANTS  
Orest W. Blaschuk et al.FILING DATE  
January 29, 2002GROUP ART UNIT  
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## U.S. PATENT DOCUMENTS

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EA	McCarthy and Vellis, "Preparation of Separate Astroglial and Oligodendroglial Cell Cultures from Rat Cerebral Tissue," <i>J. Cell Biology</i> 85: 890-902, 1980.
EB	Mege et al., "Construction of epithelioid sheets by transfection of mouse sarcoma cells with cDNAs for chicken cell adhesion molecules," <i>Proc. Natl. Acad. Sci. USA</i> 85: 7274-7278, 1988.
EC	Moran, "The Protein Delivery Service. Advances in technologies for delivering proteins and peptides in therapeutically useful forms," <i>Pharmaceutical Forum Issue</i> 6: 4-7, 1996.
ED	Munro and Blaschuk, <i>Cell Adhesion and Invasion in Cancer Metastasis</i> , R.G. Landes Company, Austin, TX, 1996, Chapter 3, "The Structure, Function and Regulation of Cadherins," pp. 17-34.
EE	Munro et al., "Characterization of Cadherins Expressed by Murine Thymocytes," <i>Cellular Immunology</i> 169(Article No. 0123): 309-312, 1996.
EF	Newton et al., "N-Cadherin Mediates Sertoli Cell-Spermatogenic Cell Adhesion," <i>Developmental Dynamics</i> 197: 1-13, 1993.
EG	Nose et al., "Localization of Specificity Determining Sites in Cadherin Cell Adhesion Molecules," <i>Cell</i> 61: 147-155, 1990.
EH	Orr, "Angiogenesis Research Offers New Approaches to Treatment of Disease," <i>Genetic Engineering News</i> , pp. 15-16, 42, May 1, 1996.
EI	Overduin et al., "Solution Structure of the Epithelial Cadherin Domain Responsible for Selective Cell Adhesion," <i>Science</i> 267: 386-389, 1995.
EJ	Redies and Takeichi, "Cadherins in the Developing Central Nervous System: An Adhesive Code for Segmental and Functional Subdivisions," <i>Developmental Biology</i> 180: 413-423, 1996.
EK	Saffell et al., "Expression of a Dominant Negative FGF Receptor Inhibits Axonal Growth and FGF Receptor Phosphorylation Stimulated by CAMs," <i>Neuron</i> , pp. 231-242, February 1997.

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## OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)

FA	Samanen et al., "Development of a Small RGD Peptide Fibrinogen Receptor Antagonist with Potent Antiaggregatory Activity in Vitro," <i>J. Med. Chem.</i> 34(10): 3114-3125, 1991.
FB	Shapiro et al., "Structural basis of cell-cell adhesion by cadherins," <i>Nature</i> 374: 327-337, 1995.
FC	Starzinsky-Powitz, E.A., "The putative role of cell adhesion molecules in endometrisis," <i>Mol. Med. Today</i> 5(7): 304-309, 1999.
FD	Tsutsui et al., "Expression of Cadherin-Catenin Complexes in Human Leukemia Cell Lines," <i>J. Biochem.</i> 120: 1034-1039, 1996.
FE	Wickelgren, "Breaking the Skin Barrier," <i>PS</i> 12: 86-88, 1996.
FF	Willems et al., "Cadherin-dependent cell aggregation is affected by decapeptide derived from rat extracellular super-oxide dismutase," <i>FEBS Letters</i> 363: 289-292, 1995.
FG	Williams, E.A., "A novel family of cyclic peptide antagonists suggests that N-cadherin specificity is determined by amino acids that flank the HAV motif," <i>J. Biol. Chem.</i> 275(6): 4007-4012, February 11, 2000.
FH	Williams et al., "Activation of the FGF Receptor Underlies Neurite Outgrowth Stimulated by L1, N-CAM, and N-Cadherin," <i>Neuron</i> 13: 583-594, 1994.
FI	Williams et al., "The Primary Structure of Hen Ovotransferrin," <i>Eur. J. Biochem.</i> 122: 297-303, 1982.
FJ	
FK	
FL	

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